

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Confirmation No.: 9713

Group Art Unit: 1762

Examiner: Alain L. Bashore

Attorney Docket No.: 44422593-6028

INFORMATION DISCLOSURE **STATEMENT**

SONNENSCHEIN NATH & ROSENTHAL LLP Customer No. 26263

Arman Glodjo, Nathan D. Bronson, and Scott E. Harrington

Application No.: 10/005,609

Filed: November 7, 2001

Title: Global Electronic Trading System

M/S AMENDMENT Commissioner for Patents P.O. Box 1450

Arlington, VA 22313-1450

CERTIFICATE OF MAILING

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Sir:

Pursuant to the provisions of 37 CFR § 1.56 and §1.97-§1.98, Applicants hereby submit patents, publications or other information enclosed herewith and listed on the enclosed Form PTO-1449 (modified) of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose. This Information Disclosure Statement is filed after the first Office Action but, as far as is known to the undersigned, prior to the mailing date of either a final rejection or a notice of allowance, whichever occurs first, and is accompanied by the fee (\$180) set forth in 37 CFR § 1.17(p).

A list of the patents and publications is set forth on the attached Form PTO-1449 (Modified). A copy of the items on PTO-1449 (Modified) is supplied herewith, except for issued United States Patents and published United States Patent Applications. A concise explanation of relevance of the items listed on form PTO-1449 (Modified) is given only for the non-English language references.

The Examiner is reminded that a "concise explanation of the relevance" of the submitted items "may be nothing more than identification of the particular figure or paragraph of the patent or publication which has some relation to the claimed invention." MPEP § 609.

While the information and references disclosed in this Information Disclosure Statement may be "material" pursuant to 37 CFR § 1.56, submission of this IDS is not intended to constitute an admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

You are authorized to charge the fee of \$180.00 set forth in 37 C.F.R. §1.17(p) to the credit card authorization enclosed herewith. The Commissioner is hereby authorized to credit overpayments or to charge any deficiency in a required fee to Deposit Account No. 19-3140. A duplicate copy of this sheet is enclosed. A duplicate copy of this Notice is enclosed for this purpose.

Respectfully submitted,

Wad

date of signature

Edward J. Radlo

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Attorney of Record

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July 2 1, 2006

cc:

IP/T docket CH

Arman Glodjo (via e-mail)

Atty Docket No.: 44422593-6028

PTO/SB/08a (08-03)

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Complete if Known				
Application Number	10/005,609			
Filing Date	November 7, 2001			
First Named Inventor	Arman Glodjo			
Art Unit	1762			
Examiner Name	Alain L. Bashore			
Attorney Docket Number	44422593-6028			

U.S. PATENT DOCUMENTS							
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
		Number-Kind Code ^{2 (if known)}					
		US-5,136,501	8-4-1992	Silverman et al.			
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OTHER ITEMS - NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	CiteNo.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T2	
		Hall et al., "The Future of Clearing and Settlement in Australia: A Discussion Paper", downloaded from www.rba.gov.au/PaymentsSystem/ Publications/PaymentsInAustralia/future_cs.pdf, March 2000, Sydney, Australia		

Examiner		Date	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unquie citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁵ Applicant is to place a check mark here if English language Translation is attached.

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